

SIPC Disclosure

M1 Finance LLC (“M1”) is a member of the Securities Investor Protection Corporation (SIPC), as such funds are available to meet customer claims in the event of Broker-Dealer bankruptcy proceedings. Protection is offered up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. Membership in SIPC does not provide protection against market losses of investments in brokerage accounts. SIPC protection only extends to client assets held on the broker-dealer platform. Please note that you can obtain information about SIPC, including the SIPC brochure, by visiting the website www.sipc.org or by calling 202.371.8300.